



**CHAPTER 396, Texas Finance Code**

**LICENSE RENEWAL APPLICATION**

**PART ONE**

**1. Registration number:** \_\_\_\_\_

**2. Registrant name:** \_\_\_\_\_

**3. Trade names or assumed names of the Registrant:**  
\_\_\_\_\_

**4. Name and address of principal contact person:**

Name: \_\_\_\_\_

Street address: \_\_\_\_\_

City, state, and zip code: \_\_\_\_\_

Telephone number: \_\_\_\_\_

Fax number: \_\_\_\_\_

E-Mail: \_\_\_\_\_

**5. Registrant's principal business office:**

Street address: \_\_\_\_\_

City, state, and zip code: \_\_\_\_\_

Telephone number: \_\_\_\_\_

Fax number: \_\_\_\_\_

**6. Address at which registrant keeps its books and records (if different from answer to Question 5).**

Street address: \_\_\_\_\_

City, state, and zip code: \_\_\_\_\_

Telephone number: \_\_\_\_\_

Fax number: \_\_\_\_\_

**7. Registrant is a(n): (Check appropriate classification)**

Individual

Partnership

Association

Joint Stock Association

Corporation

**If there have been any changes in the Registrant's organizing documents, i.e. Amendment to Articles of Incorporation, since the application or last renewal, provide a file-stamped copy of the documents.**

**8. The Registrant's website address:** \_\_\_\_\_

**9. The Registrant's mailing address, if different from the answer on Question 5:**

\_\_\_\_\_

**10. Indicate the number of locations in Texas.** \_\_\_\_\_

**If more than one location in Texas, provide a separate list including the street address, business telephone number, fax number, and email address.**

## PART TWO

**INSTRUCTIONS:** Please check either "yes" or "no" in response to the following questions regarding changes since the last application or renewal. **IF THERE HAVE BEEN ANY CHANGES, PLEASE PROVIDE THE REQUESTED INFORMATION (Note that a response to the following does not constitute compliance with any separate statutory notice or reporting requirement):**

1. Have there been any changes in principal officers, directors, partners, or individuals with a 10% or more ownership interest in the registrant, since the previous renewal?

Yes  No

If yes, please explain changes on a separate sheet, and include the name, title, business address and percentage ownership of each person who has acquired an ownership interest or additional ownership interest become an officer or director of the registrant.

2. Has the registrant had its registration, or equivalent suspended, revoked or renewal refused in any other state since the last application or renewal?

Yes  No

If yes, please explain on a separate sheet.

3. Has a state or other governmental agency ever denied, initiated any enforcement action (For example: Cease and Desist Order; Memorandum of Understanding; or Consent Agreement) revoked or suspended a license registration or certificate held by the applicant, the principal owner, an officer, director or person owning or controlling more than 10% of the applicant?

Yes  No

If yes, provide the name(s) of the state or other governmental agency, telephone number, and license or certificate number below. Additionally, provide a full explanation of the denial, enforcement action, revocation, or suspension. Provide a copy of the findings from any supervisory enforcement actions for the previous five years.

4. Has there been any material litigation involving the registrant, its directors and executive officers or an affiliate of the registrant initiated since the last application or renewal? 8Material litigation means litigation that, according to generally accepted accounting principles is deemed significant to any registrant's financial health and would be required to be referenced in its annual audited financial statements, report to shareholders or similar documents.

Yes  No

**If yes**, provide a list containing information on each pending lawsuit, civil or criminal, involving the **applicant** including the following: the parties involved; a synopsis of the facts alleged by each party; the nature of the action; the court in which the lawsuit is pending; and the amount in controversy. Do not include lawsuits filed on behalf of clients.

Provide a list containing information on each pending lawsuit, civil or criminal, involving an **owner of a controlling interest of the applicant** that is related to child support enforcement or may affect the applicant including the following: the parties involved; a synopsis of the facts alleged by each party; the nature of the action; the court in which the lawsuit is pending; and the amount in controversy. Do not include lawsuits filed on behalf of clients.

Provide a list for the past ten years of each judgment awarded against the **applicant or any owner of a controlling interest of the applicant** and a statement as to whether an appeal is pending.

5. Has there been any felony indictment or criminal conviction of any director, principal officer or partner of the registrant, or any individual with a 10% or more ownership interest in the licensee, since the last application or renewal?

Yes  No

If yes, please explain on a separate sheet.

6. Have there been any substantive changes to the business since the last application or renewal, if not previously provided?

Yes  No

If yes, please provide a specimen if not previously provided.

7. Have there been any changes to the contract since the last application or renewal?

Yes  No

If yes, please provide both electronic and hard copies.

8. Has the registrant, or any principal officer, director, partner, or individual with a 10% or more ownership interest in the registrant, filed a petition in bankruptcy or reorganization since the last application or renewal?

Yes  No

If yes, please describe the proceedings on a separate sheet, and provide a copy of the petition and a copy of the discharge, if applicable.

I hereby certify that I am authorized to file this application, and that all information submitted to the Commissioner in connection with this application, including the forms, schedules, exhibits, attachments, and any related correspondence, is true and correct, to the best of my knowledge. The Applicant is not indebted to any local, state, or federal government or political subdivision of the government for delinquent taxes, fines, penalties or fees. I further certify that the Applicant is able to meet its financial obligations as they become due and that all information necessary for the Commissioner to make an informed decision is contained herein. In addition, I agree to notify the Commissioner if the facts described in the filing materials change prior to the issuance of the license.

A person commits a felony offense if the person intentionally makes an untrue statement of material fact in this application.

\_\_\_\_\_ by \_\_\_\_\_  
Applicant) (Signature)

(CORPORATE SEAL)

\_\_\_\_\_  
(Printed or Typed Name)

\_\_\_\_\_  
(Title)

STATE OF \_\_\_\_\_  
COUNTY OR PARISH OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me, a Notary Public in and for said County, of said State, personally appeared:

\_\_\_\_\_ known to me to be the person named in, and who executed the foregoing form and made oath that the statements and representations set forth therein are true to the best of his/her knowledge and belief.

(SEAL)

\_\_\_\_\_  
(Notary Public)